Docket 2000.83 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Khuy V. Nguyen, et al

Group No.:

Application No.:

Examiner:

Filed:

For:

DIFFUSION MEMBRANE

Assistant Commissioner for Patents Box Patent Application P. O. Box 2327 Arlington, VA 22202

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EF295643811US

Date of Deposit: December 3, 2001

I hereby certify that the attached correspondence comprising:

Utility Patent Application Transmittal Fee Transmittal (in duplicate) Check in the Amount of \$740.00 Specification (12 pages) Return Receipt Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to:

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PTO/SB/05 (03-01)

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Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION **TRANSMITTAL**

2000.83 Attorney Docket No. Khuy V. Nguyen First Inventor **DIFFUSION MEMBRANE** EF295643811US Express Mail Label No.

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICA	ATIONELEMENTS		ADDE	TOO TO			r for Patents
See MPEP chapter 600 conce	erning utility patent application conten	ts.			ngton, DC		10
See MPEP chapter 600 conce 1. X Fee Transmittal F. 2. Applicant claims so See 37 CFR 1.27. 3. X Specification (preferred arrangement set - Descriptive title - Cross Reference - Statement Rega - Reference to se or a computer programment of the concept of the	erning utility patent application contentorm (e.g., PTO/SB/17) rate for fee processing) mall entity status. [Total Pages 12] forth below) of the invention e to Related Applications urding Fed sponsored R & D equence listing, a table, program listing appendix the Invention of the Invention of the Drawings (if filed) iption Disclosure S. C. 113) [Total Sheets [Total Pages] Itted (original or copy) In prior application (37 CFR 1.63 (d)) attion/divisional with Box 18 complete ION OF INVENTOR(S) tement attached deleting inventor(s)	1	7. 8. Nuclea (if ap, a. b. Sp	Washi CD-ROM or CD-R in du Computer Program (Ap cotide and/or Amino Acid S plicable, all necessary) Computer Readable R Decification Sequence Listi i. CD-ROM or C ii. paper Statements verifying CCOMPANYING AF Assignment Papers 37 CFR 3.73(b) Stat (when there is an as English Translation Information Disclos Statement (IDS)/P Preliminary Ameno K Return Receipt Poo (Should be specific Certified Copy of R (if foreign priority is	plicate, lai pendix) Sequence Form (CRF ing on: D-R (2 co identity of PPLICA (cover sh tement signee) Docume ing on: D-1449 diment stcard (M ally items colaimed	20231 rge table Submis pies); c above TION neet & (if a) pies & (if a)	copies PARTS document(s)) Power of Attorney pplicable) Copies of IDS Citations 603) ent(s)
named in 1.63(d)(2) a	the prior application, see 37 CFR		16.	Nonpublication Req (b)(2)(B)(i). Applica or its equivalent. Other	uest und ntmustai	er 35 tach fo	U.S.C. 122 orm PTO/SB/35
or in an Application Data Si Continuation Prior application information: For CONTINUATION OR DIVISION	CATION, check appropriate box, and heet under 37 CFR 1. 76: Divisional Continuation-in-part(C Examiner DNAL APPS only: The entire disclosure of the disclosure of the accompanying cobe relied upon when a portion inad	IP) f the pr	ior applic	of prior application No.: Group Art Unit: atlon, from which an oath	or declara	ition is	supplied under
	19. CORRESPO	NDEN	E ADD	RESS			
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Name	Robert H. Hammer III, Robe	ert H.	Hamn	ner III, P.C.			
	13777 Ballantyne Corporate						
Address	Suite 250						
City	Charlotte		State	NC	Zip C	ode	28277
Country		Telep	hone	704-944-3215	Fa	x	704-944-3201
Name (Print/Type)	Robert H. Hammer III		Re	egistration No. (Attorne	y/Agent)	31,7	64
Signature	1/		•		Date	12	13/01

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FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 740.00

espond to a collection of inte	ormation unless it displays a valid	CIVID CONTION	number.
C	omplete if Known		
Application Number		o	
Filing Date		م ہے	
First Named Inventor	Khuy V. Nguyen		
Examiner Name		<u>.</u> ;	
Group Art Unit		0/8	
Attorney Docket No.	2000.83	ည် မ	

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)			
V Chark Credit card Money Other None	3. ADDITIONAL FEES			
Deposit Account:	Large Entity	Small Entit	<u>y</u> _	
Deposit Account.	Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description	Fee Paid
Account Number	105 130	205 65	Surcharge - late filing fee or oath	
Deposit	127 50	227 25	Surcharge - late provisional filing fee or	,
Account Name	127 30	227 20	cover sheet	
The Commissioner is authorized to: (check all that apply)	139 130	139 130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments	147 2,520	147 2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) during the pendency of this application	112 920*	112 920*	Requesting publication of SIR prior to	
Charge fee(s) indicated below, except for the filling fee	440 4 040	40 4040	Examiner action Requesting publication of SIR after	
to the above-identified deposit account. FEE CALCULATION	113 1,840*	113 1,840"	Examiner action	
	115 110	215 55	Extension for reply within first month	
1. BASIC FILING FEE Large Entity Small Entity	116 400	216 200	Extension for reply within second month	
Fee Fee Fee Fee Fee Description	117 920	217 460	Extension for reply within third month	
Code (\$) Code (\$)	118 1,440	218 720	Extension for reply within fourth month	
101 740 201 370 Utility filing fee 740,00	128 1,960	228 980	Extension for reply within fifth month	
	119 320	219 160	Notice of Appeal	
107 510 207 255 Plant filing fee 108 740 208 370 Reissue filing fee	120 320	220 160	Filing a brief in support of an appeal	
114 160 214 80 Provisional filing fee	121 280	221 140	Request for oral hearing	
114 100	138 1,510	138 1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 740.00	140 110	240 55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	141 1,280	241 640	Petition to revive - unintentional	
Fee from Extra Claims below Fee Paid	142 1,280	242 640	Utility issue fee (or reissue)	
Total Claims 11 -20** = 0 x = 0	143 460	243 230	Design issue fee	
Independent 3 - 3** = 0 X = 0	144 620	244 310	Plant issue fee	
Multiple Dependent =	122 130	122 130	Petitions to the Commissioner	-
	123 50	123 50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126 180	126 180	Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	581 40	581 40	Recording each patent assignment per property (times number of properties)	
103 18 203 9 Claims in excess of 20				
102 84 202 42 Independent claims in excess of 3	146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
104 280 204 140 Multiple dependent claim, if not paid	149 740	249 370	For each additional invention to be	
109 84 209 42 ** Reissue independent claims over original patent			examined (37 CFR § 1.129(b))	
110 18 210 9 ** Reissue claims in excess of 20	179 740	279 370	Request for Continued Examination (RCE)	
and over original patent	169 900	169 900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 0.00	Other fee (s	specify)		
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**or number previously paid, if greater; For Reissues, see above	Reduced	y Dasic Milit	greer and Gobrothe (a)	

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Robert H. Hammer III	Registration No. (Attorney/Agent) 31,764	Telephone	704-944-3215	
Signature			Date	12/3/01	

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PATENT APPLICATION SERIAL NO.

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J.6.02

12/11/2001 MABDII 00000076 10005846

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